

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Tu James</u> (Last) (First) (Middle) <u>32000 AURORA ROAD, SUITE B</u> (Street) <u>SOLON OH 44139</u> (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol <u>ENERGY FOCUS, INC/DE [EFOI]</u>	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director <input checked="" type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below) <u>Chairman and CEO</u>
	3. Date of Earliest Transaction (Month/Day/Year) <u>07/16/2019</u>	
4. If Amendment, Date of Original Filed (Month/Day/Year)		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	V	(A)	(D)						Date Exercisable
Stock Option	\$0.42	07/16/2019		A		300,000	07/16/2020 ⁽¹⁾	07/16/2029	Common Stock	300,000	\$0	300,000	D	

Explanation of Responses:

1. Date applies to one-fourth of the total. The remaining three-fourths vest in equal monthly installments thereafter over a three year period.

Remarks:

The reporting person may be deemed to be a member of a group, which in aggregate beneficially in excess 10% of the Issuer's common stock

/s/ James Tu 07/18/2019

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

JAMES TU

SECTION 13 and 16 FILINGS

Power of Attorney

KNOW ALL MEN BY THESE PRESENT: That James Tu has made, constituted, and appointed, and by this instrument does make, constitute, and appoint, Marcia J. Miller, Chief Financial Officer of Energy Focus, Inc., Jason D. Christman, Tax and External Reporting Analyst of Energy Focus, Inc., and Janet Spreen, Partner of BakerHostetler, his true and lawful attorney for him and in his name, place, and stead, with power of substitution, to subscribe, as attorney-in-fact, to file SEC Section 13 and 16 Filings, hereby giving and granting to the attorney-in-fact full power and authority to do and perform every act and thing necessary to be done in the premises, as fully as he might or could do if personally present, hereby ratifying and confirming all that the attorney-in-fact shall lawfully do or cause to be done by virtue hereof.

This Power of Attorney shall only apply to Section 13 and 16 Filings.

IN WITNESS WHEREOF, this Power of Attorney has been signed as of July 22, 2015.

A handwritten signature in black ink, appearing to read 'James Tu', is written over a horizontal line. To the left of the signature, there is a small yellow rectangular stamp with a red 'X' inside.

James Tu
Director, Energy Focus, Inc.